



## CITY OF LODI

## COUNCIL COMMUNICATION

**AGENDA TITLE:** Approve San Joaquin Council of Government's Annual Financial Plan for Fiscal Year 2000-2001

**MEETING DATE:** June 21, 2000

**SUBMITTED BY:** Deputy City Manager

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**RECOMMENDATION:** That the City Council review and approve the San Joaquin Council of Governments' Annual Financial Plan for Fiscal Year 2000-2001.

**BACKGROUND INFORMATION:** The San Joaquin Council of Governments (SJCOG) Board unanimously adopted the attached Annual Financial Plan for Fiscal Year 2000-2001 during its May 25, 2000 Board meeting. Pursuant to SJCOG's Joint Powers Agreement, member agencies must also ratify the proposed budget, therefore SJCOG has requested that the City of Lodi approve the budget prior to June 29, 2000. A representative from SJCOG will attend the Council meeting should Council Members have questions regarding the Attachment.

Respectfully submitted,

Janet S. Keeter  
Deputy City Manager

Attachment

cc: Steve Dial, Director of Administration/CFO  
San Joaquin Council of Governments

APPROVED: \_\_\_\_\_

  
H. Dixon Flynn -- City Manager



SAN JOAQUIN COUNCIL OF GOVERNMENTS

6 South El Dorado St., Suite 400 • Stockton, California 95202

209.468.3913 • 209.468.1084 (fax)

[www.sjco.org](http://www.sjco.org)

MAY 31 2000

*John A. Flynn*  
CITY CLERK

*Don Bilbrey*  
CITY CLERK

*Julia A. Green*  
EXECUTIVE DIRECTOR

*Member Agencies*  
CITY OF Lodi  
CITY OF STOCKTON  
CITY OF Lodi

CITY OF  
MERCED  
CITY OF

STOCKTON  
CITY OF

CITY OF  
STOCKTON  
CITY OF

May 30, 2000

Mr. Dixon Flynn  
City Of Lodi  
P.O. Box 3006  
Lodi, CA 95241-1910

Dear Mr. Flynn:

Enclosed is a summary of the San Joaquin Council of Governments' Annual Financial Plan (AFP) for Fiscal Year 2000-2001. Pursuant to SJCOG's Joint Powers Agreement, following adoption of the budget by our Board, it is to be delivered to member agencies for ratification by each governing body. The Board unanimously adopted the Plan on May 25, 2000. A copy of the adopting resolution is enclosed.

Therefore, I request that you place SJCOG's Annual Financial Plan for Fiscal Year 2000-2001 on a forthcoming agenda prior to June 29, 2000 for your Council's approval.

The Annual Financial Plan implements the FY 2000-2001 Overall Work Program (OWP) also adopted by the COG Board on May 25, 2000. A few of the highlights of the OWP include:

- Completing the 2000 Regional Transportation Plan
- Conducting a Port of Stockton Access Study
- Continuing to actively participate in the Interregional Partnership
- Initiate an AB 1600 Regional Transportation Impact Fee study
- Continue spearheading the Valleywide Intelligent Transportation System Strategic Plan
- Engage an audit firm for the Triennial Performance Audit of the transit operators in the county and SJCOG
- Enhance SJCOG's public involvement program to insure minority populations are integrated into the planning process
- Implement the Habitat Plan when adopted.

The enclosed summary identifies revenues and expenditures anticipated for the year including both operating and pass-through revenues and expenditures. Pass-through are funds over which SJCOG has fiduciary responsibility such as Transportation Development Act, TEA-21 and the majority of Measure K funds. The summary compares the FY 2000-2001 budget with the FY 1999-2000 amended budget and actual expenditures for FY 1998-99.

The following assumptions are incorporated in the budget:

- Work will not begin and expenses will not be incurred unless anticipated revenues sources that are attained i.e., Air District grants, Freeway Service Patrol, etc.
- We anticipate being fully staffed for the entire fiscal year, therefore the Salaries and Benefits cost category is fully funded.
- An eight percent pool has been included in Salaries and Benefits for merit based increases to be determined by the Executive Director. No cost of living adjustment will be considered this fiscal year.

#### LINE ITEMS WITH SIGNIFICANT CHANGE

The **Salaries and Benefits** group actually decreases slightly reflecting actual salaries and benefits while adding three staff members, two regional planners and planner technician. The two regional planner positions were requested in order to support develop and implement the congestion management plan, air quality conformity, grant management, public involvement, legislative activities, Measure K, and the Habitat program. The planner technician is to support Commute Connection, the regional rideshare program of SJCOG. One position related to the Habitat program would not be filled until the Habitat Plan is adopted.

**Services and Supplies** increases 22.2%.

- **Office Expenses** increases 24.7% due to increased needs in office supplies, printing, office equipment and furniture and software upgrades.
- **Communications** increases 28.0% due to Internet-related costs.
- **Memberships** increases 18.7% due to costs to maintain memberships with various organizations.
- **Transportation, Travel & Training** increases 27.5% due primarily to training of staff and increased participation in the Washington, D.C. Fly-In.
- **Allocated Service Cost** increases 54.4% due to costs passed along to SJCOG from San Joaquin County for various county services.
- **Publications and Legal Notices** increases 80% due to the necessity to provide

*Mr. Dixon Flynn*  
*May 30, 2000*  
*Page 3*

- a higher level of public involvement related to Title VI.
- **Building Maintenance** increases 65.0% due to the budgeting of the security system operation for the SJCOG suite.
- **Rents-Structures and Grounds** increases 17.9% in anticipation of acquiring additional office space.

The Annual Financial Plan is the instrument through which revenues are brought into SJCOG, programmed and then spent. The proposed budget is 2.8% more than the currently adopted amended budget. Sufficient revenue sources exist as presented in the report to support the budget.

SJCOG staff would be pleased to appear before your policymakers to answer any questions they might have regarding this matter. **We request ratification prior to June 29, 2000.**

Please let me know when this will be appearing before the Council. If you have any questions regarding this matter, don't hesitate to contact me at 468-3913.

Thank you for your assistance.

Sincerely,



STEVE DIAL  
Director of Administration/Chief Financial Officer

Enclosure

**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN**

**Fiscal Year 2000-2001**

**Adopted May 25, 2000**

**CHAIR**

John W. Harris, Mayor Pro Tem, City of Manteca

**VICE-CHAIR**

Dan Bilbrey, Mayor, City of Tracy

**BOARD OF DIRECTORS**

Supervisor Robert Cabral	County of San Joaquin
Supervisor Jack Sieglock	County of San Joaquin
Councilmember David Ennis	City of Escalon
Councilmember Gloryanna Rhodes	City of Lathrop
Councilmember Phillip Pennino	City of Lodi
Mayor Mike Restuccia	City of Ripon
Mayor Gary Podesto	City of Stockton
Councilmember Richard Nickerson, MD	City of Stockton

**EX OFFICIO DIRECTORS**

Mark Leja	Caltrans District 10
Floyd Weaver	San Joaquin Regional Transit District
Robert Kavanaugh	Port of Stockton

**SUBMITTED BY:**

Julia E. Greene  
Executive Director

Steve Dial  
Director of Administration/  
Chief Financial Officer

**San Joaquin County Council of Governments**  
**ANNUAL FINANCIAL PLAN**  
**Fiscal Year 2000/2001**  
**Adopted May 25, 2000**

	FY 98/99	FY 99/00	FY 2000-2001	%
REVENUES	Actual	Amend A. (1/27/00)	Proposed 5/25/00	Change
Federal Grants	\$899,557	\$1,078,835	\$1,117,802	3.5%
State Grants	\$802,915	\$937,438	\$1,099,566	14.7%
Local	\$1,004,474	\$1,546,400	\$1,495,750	-3.4%
Interest	\$0	\$45,000	\$0	0.0%
Other	\$0	\$50,000	\$50,000	0.0%
<b>SJCOG OPERATING REVENUES</b>	<b>\$2,706,946</b>	<b>\$3,657,673</b>	<b>\$3,763,118</b>	<b>2.8%</b>
Federal Pass-Through	\$6,539,000	\$44,000,000	\$54,226,000	18.9%
State Pass-Through	\$970,673	\$968,427	\$952,000	-1.7%
Local Pass-Through	\$46,628,896	\$44,000,000	\$44,553,040	1.2%
Commercial Paper	\$65,000,000	\$75,000,000	\$75,000,000	0.0%
<b>TOTAL SJCOG REVENUE</b>	<b>\$113,814,173</b>	<b>\$167,626,100</b>	<b>\$178,494,158</b>	<b>6.1%</b>
<b>EXPENDITURES</b>				
Salaries & Benefits	\$1,257,603	\$1,759,867	\$1,746,401	-0.8%
Services & Supplies	\$445,662	\$513,930	\$660,546	22.2%
Office Expense	\$105,449	\$126,900	\$168,500	24.7%
Communications	\$27,786	\$26,000	\$36,100	28.0%
Memberships	\$20,945	\$21,370	\$26,270	18.7%
Maintenance - Equipment	\$15,708	\$26,000	\$26,000	0.0%
Rents & Leases - Equipment	\$86,097	\$103,400	\$115,400	10.4%
Transportation, Travel & Training	\$61,233	\$67,100	\$92,600	27.5%
Allocated Service Cost	\$3,561	\$3,100	\$6,800	54.4%
Publications & Legal Notices	\$23,121	\$4,500	\$22,500	80.0%
Insurance	\$21,408	\$26,600	\$28,000	5.0%
Building Maintenance	\$3,357	\$3,500	\$10,000	65.0%
Rents - Structures & Grounds	\$76,995	\$105,460	\$128,376	17.9%
Professional Services	\$741,594	\$1,305,376	\$1,275,376	-2.4%
Fixed Assets	\$55,921	\$75,000	\$76,500	2.0%
Unallocated/Reserve	\$5,492	\$3,500	\$4,295	18.5%
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$2,506,272</b>	<b>\$3,657,673</b>	<b>\$3,763,118</b>	<b>2.8%</b>
Pass-Through	\$119,159,348	\$163,968,427	\$174,731,040	6.2%
<b>TOTAL SJCOG EXPENDITURES</b>	<b>\$113,814,173</b>	<b>\$167,626,100</b>	<b>\$178,494,158</b>	<b>6.1%</b>
Excess (Deficit) Revenues	\$200,674	\$0	\$0	
Over Expenditures (Operating)				

RESOLUTION NO. 2000-109

A RESOLUTION OF THE LODI CITY COUNCIL RATIFYING  
THE SAN JOAQUIN COUNTY COUNCIL OF GOVERNMENTS  
(COG) 2000/2001 ANNUAL FINANCIAL PLAN

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WHEREAS, the San Joaquin County Council of Governments (COG) has approved their Fiscal Year 2000-2001 Budget by adopting Resolution No. R-00-42 as shown on the attached marked Exhibit A; and

WHEREAS, the San Joaquin County Council of Governments (COG) is required to forward such Resolution to its member Governments for their review and ratification.

NOW, THEREFORE, BE IT RESOLVED, that the Lodi City Council hereby ratifies the 2000-2001 Annual Financial Plan for the San Joaquin County Council of Governments (COG).

Dated: June 21, 2000

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I hereby certify that Resolution No. 2000-109 was passed and adopted by the Lodi City Council in a regular meeting held June 21, 2000 by the following vote:

AYES: COUNCIL MEMBERS – Hitchcock, Land, Nakanishi, Pennino  
and Mann (Mayor)

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None

  
JACQUELINE L. TAYLOR  
Interim City Clerk



**RESOLUTION**  
**SAN JOAQUIN COUNCIL OF GOVERNMENTS**

R-00-42

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RESOLUTION APPROVING THE ADOPTION OF THE 2000-2001  
OVERALL WORK PROGRAM AND THE FY 2000-2001 ANNUAL FINANCIAL PLAN  
FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS

WHEREAS, annually the San Joaquin Council of Governments acting as the Metropolitan Planning Agency, and the Regional Transportation Planning Agency for San Joaquin County adopts a Overall Work Program, and

WHEREAS, that Overall Work Program identifies the funding sources that the agency will utilize in the coming year, and the work tasks and products that the agency will produce, and

WHEREAS, this Overall Work Program must be submitted to the required funding agencies for their review and approval, and

WHEREAS, the Council of Governments must assure that the planning tasks identified are in compliance with all State and Federal laws and regulations, and are coordinated with all effected agencies, and communities on a regional basis, and

WHEREAS, it is also essential that the COG prepare a budget, constrained by the resources available, to carry out and fund the work scheduled in the fiscal year.

NOW THEREFORE BE IT RESOLVED that the San Joaquin Council of Governments adopts the attached 2000-2001 Overall Work Program and self-certifies the following:

The San Joaquin Council of Governments hereby certifies its planning process to be in accordance with all applicable requirements of the Federal government, as follows:

1. Section 134 of Title 23, U.S.C. Section 8 of the Federal Transit Act (49 U.S.C. app. 1607) and 23 CFR 450 Subpart C;
2. Sections 174 and 176(c) and (d) of the Clean Air Act (42 U.S.C. 7504, 7506 (c) and (d));
3. Title VI of the Civil Rights Act of 1964 and the Title VI assurance executed by each State under 23 U.S.C. 324 and 29 U.S.C 794;
4. Section 1003(b) of the Intermodal Surface Transportation Efficiency Act to 1991 (Pub. L. 102-240) regarding the involvement of disadvantaged business enterprises in the FHWA and the FTA funded planning process (Sec.105(f), Pub. L. 97-424, 96 Stat. 2100, 49 CFR Part 23); and



Resolution #R-42  
Page Two

5. The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat. 327, as amended) and USDOT regulations A Transportation for Individuals With Disabilities@ (49 CFR Parts 27, 37, and 38).

BE IT FURTHER RESOLVED that the San Joaquin County Council of Governments does hereby approve the fiscal year 2000-2001 Annual Financial Plan and forward such to its member governments for their review and ratification.

PASSED AND ADOPTED this 25<sup>th</sup> day of May, 2000 by the following vote of the San Joaquin Council of Governments, to wit:

AYES: Ennis, Escalon; Pennino, Lodi; Bilbrey, Tracy; Harris, Manteca; Podesto, Stockton; Sieglock, San Joaquin County; Rhodes, Lathrop; Restuccia, Ripon.

NOES: NONE

ABSENT: Cabral, San Joaquin Co.; Nickerson, Stockton.



JOHN W. HARRIS  
Chairman



P.O. Box 447  
Stockton, CA 95201

Tel. 209•460•5000  
Fax 209•460•5100

Antonio V. Pizano  
Executive Director

Board of  
Commissioners

Alan Biedermann  
Chairperson

Luz T. Arredondo  
Commissioner

Audrey Jordan  
Commissioner

Keith Land  
Commissioner

Nancy Patron-Moreno  
Commissioner

Joan Thorp  
Commissioner

Shelly Wilson  
Commissioner

Administration  
209•460•5084  
448 S. Center St.  
Stockton, CA 95203

May 19, 2000

City Clerk  
City of Lodi  
City Hall  
Lodi, CA 95240

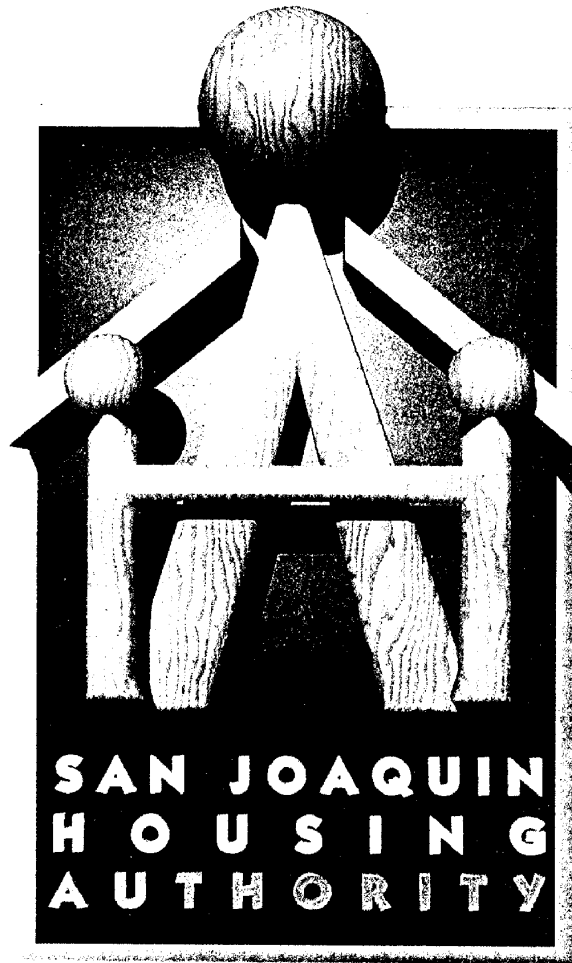
Dear Sir:

In compliance with the Health and Safety Code §34328 requiring this  
Housing Authority to file with the Clerk, at least once a year, a report of its activities,  
you will find enclosed a copy of our 1999 Annual Report.

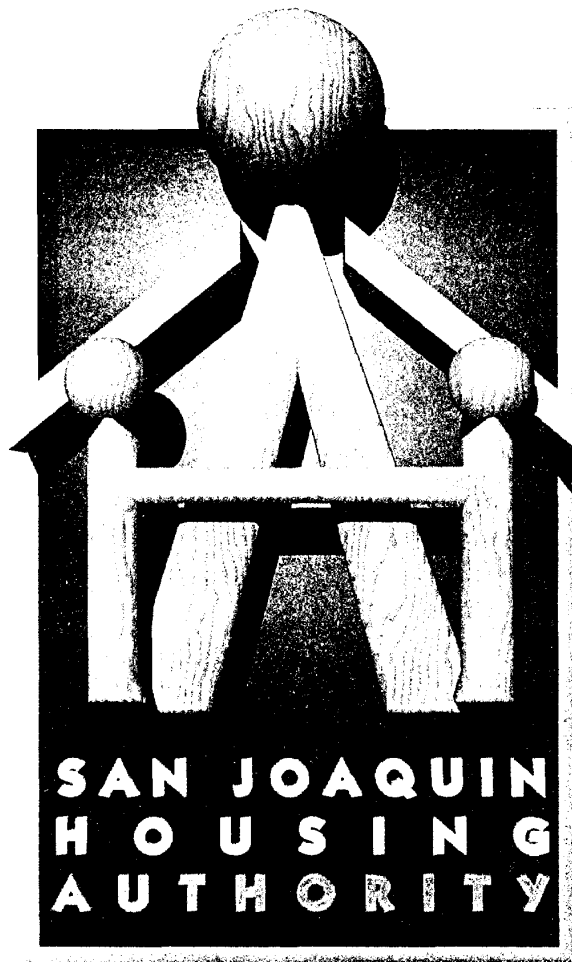
Sincerely,

Antonio V. Pizano  
Executive Director

JD  
Enc.



1999 ANNUAL REPORT



**Our Mission:**

*The Housing Authority of the County of San Joaquin is dedicated to providing and advocating for affordable, attractive, safe living environments and opportunities to become self-sufficient for persons of very low to moderate income.*

**Our Vision:**

*The Housing Authority of the County of San Joaquin will be nationally recognized as an innovative agency and a leader in creating community partnerships that result in individuals and families having hope for a better tomorrow.*

# Excellence, Teamwork and Perseverance

## *The Strength of the San Joaquin Housing Authority*

EXCELLENCE is the result of caring more than others think is wise, risking more than others think is safe, dreaming more than others think is practical, and expecting more than others think is possible.

TEAMWORK is a joint effort to achieve a common goal and vision. It is the ability to direct individual accomplishment toward organizational objectives.

It is the fuel that energizes the common person to attain uncommon results.

PERSEVERANCE is the determination to meet the daily challenges that life offers us to make a difference in the world.

We shape our own destinies when we become proactive and constructive in the community that we live. The end result of our endeavors will reflect the passion we exert in the pursuit of these goals. The likelihood of success becomes evident when we are passionate with our careers and job performance. Letitia Van Buren, Tania Medrano, and Lydia Granados PERSEVERED, and are succeeding.

We invite you to join us in our continued quest to make a

positive difference, to impact the sector of the population that awaits our support, to establish new standards of work ethics, and create better role models in our society.

It is indeed a humbling experience to have been given the opportunity to make a positive difference in the life of someone, in the lives of many... Thank you for allowing us to build futures... together.



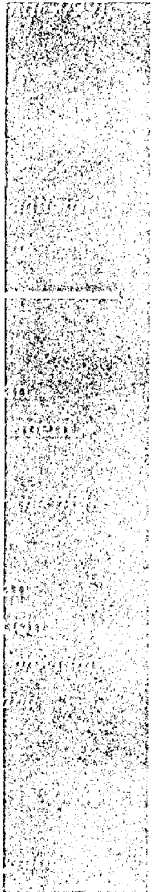
Alan Biedermann

Chairman of the Board



Antonio V. Pizano

Executive Director



Barbara Barrera  
Barbara Bass  
Jesse Beard  
Maria Bobian  
Patrick Bohnak  
Robert Breedlove  
Vernette Brown\*  
Charles Brownlee  
Lydia Cardenas  
Stefene Clinton  
Elia Constancio  
Abel Cortez, Jr.  
Juan Cruz\*  
Joel Daniels  
Pilar Diaz  
Nicholas Dinkins  
Leslie Duffy  
Judy Dustin  
Gregory Emmons  
Jesus Enriquez  
Connie Fernandez  
Danny Ford  
Bob Friedman  
Joan Gabrielson  
Joe Gaitan  
Ruben Garcia

Geri Jones  
Marion Jones\*  
Gus Joslin  
Henry Keener  
Marianne Krag  
Carena Lane\*  
Heidi Lane  
Deborah Larso  
Amado Ledesma  
Eusebio Leon  
Khamkeo Lo\*  
Susana Lopez  
Enrique Lopez  
Lottie Lyons  
Michele Mah  
Monica Martin  
Corinne Mattl  
Jean McCulloch  
Kak Mean  
Robert Navarro  
Frank Newton  
Coral Orani  
Richard Ortiz  
Suzan Ossino

## **Housing Authority Personnel**

Michael Aguirre	Edmundo Hernandez	Nicolas Plascencia
Michael Allen	Ken Hornick	Karan Plummer
Betty Ashley	Leonila Hoyt	James Preston
Barbara Atkinson	Tedd Hunter	Toni Quintero
Edward Avila, Jr.	Marc Jessee	Teddy Racho
Juan Barrera	Janice Johnson	Antoinette Reed
Barbara Bass	Wilson Johnson	Rosalie Reza
Jesse Beard	Geri Jones	David Riberal
Maria Bobian	Marion Jones*	Carlos Rico
Patrick Bohnak	Gus Joslin	James Rinker
Robert Breedlove	Henry Keener	Jose Rios
Vernette Brown*	Marianne Krager	Peter Robles
Charles Brownlee	Carena Lane*	Elsa Rocha
Lydia Cardenas	Heidi Lane	Bobby Sage
Stefenee Clinton	Deborah Larson	Ector Sandoval
Elia Constancio	Amado Ledesma, Jr.	Richard Serna
Abel Cortez, Jr.	Eusebio Leon	Leda Sharp
Juan Cruz*	Khamkeo Lo*	Patricia Smith*
Joel Daniels	Susana Lopez	Louise Spitzer
Pilar Diaz	Enrique Lopez*	Stacie Steinocher
Nicholas Dinkins	Lottie Lyons	Robin Strauther
Leslie Duffy	Michele Mah	Eloise Terry
Judy Dustin	Monica Martinez	Jubenal Torres
Gregory Emmons	Corinne Matthies	Dorothy Trammull*
Jesus Enriquez	Jean McCullough	Julian Valdez
Connie Fernandez	Kak Mean	Kimberlee Valdez
Danny Ford	Robert Navarro	Rosa Valencia
Bob Friedman	Frank Newton	Barnie Villa*
Joan Gabrielson	Coral Orani	Shirley Washington
Joe Gaitan	Richard Ortiz	Cheri Wilder
Ruben Garcia	Suzan Ossino	Mark Williams
Joe Gastello, Jr.	Gloria Perez	Michelle Williams*
Gloria Gerolaga	Julie Pietre	Torry Wilson
Jeff Gilbert	Antonio Pizano	Bee Xiong*
Carolyn Graham		Brian York
Janet Guilelmino		
Jeanne Hanson		
Maria Harris		
Venetta Hempstead*		

*\*Program participants  
hired by the  
Housing Authority*



## **People Building Futures**

448 South Center Street • Stockton, California 95203 • (209) 460-5000

## STRATEGIC ACHIEVEMENTS

### Goal

To enhance the amount, attractiveness, and marketability of our housing stock.

### Results

- Implemented exterior dwelling improvements to 600 public housing units.
- Established Villa Real, Inc., a non-profit subsidiary, which will develop, acquire, and revitalize property to expand opportunities for housing, homeownership, and business development for low to moderate income residents of San Joaquin County.
- Performed comprehensive energy usage audit in preparation for an agency-wide energy savings program.

### Goal

To increase opportunities for self-sufficiency and homeownership for our clientele.

### Results

- In its first seven months of operation, the Authority's Employment Services Program assisted 46 individuals in obtaining employment.
- Served 489 individuals at the Supportive Services Centers, which provide comprehensive social services that are designed to support families in achieving economic self-sufficiency.
- Provided case-management services to 61 individuals participating in the Family Self-Sufficiency program, which resulted in seven families achieving economic self-reliance.

### Goal

To develop and implement an Asset Management Plan, including becoming economically independent.

### Results

- Received 2.5 million dollars in federal funding to provide 700 families moving from welfare-to-work with rental subsidies.
- Implemented cost containment programs resulting in substantial savings such as reducing vehicle usage by 100,000 miles.
- Established a program for affordable housing acquisition.

### Goal

Improve communication and working relationships internally and externally.

### Results

- Implemented the first annual Employee Attitude Survey, which was designed to elicit feedback from employees regarding their level of satisfaction with the Authority's management.

## SUCCESS STORIES



## COMMUNITY PARTNERS

## FINANCIAL STATEMENTS

*Our success is enhanced by the support of our many community partnerships. Many thanks to the following partners:*

Boys and Girls Club of Tracy  
California Fair Employment and Housing  
Carpenters Local Union 1869  
City of Stockton Code Enforcement  
City of Stockton Parks and Recreation  
City of Stockton Police Department  
City of Tracy Parks and Community Services  
Community Data Cooperative  
Community Medical Centers, Inc.  
Conway Homes Resident Council  
Council for the Spanish Speaking  
Creative Child Care, Inc.  
Delta Health Care  
Economic Opportunity Commission  
Emergency Food Bank  
Employment Development Department  
Family Resource and Referral Services  
Head Start  
Kaiser Permanente  
Lodi Unified School District  
Mediation Center of San Joaquin  
Mokelumne and Sartini Manor Resident Council  
Private Industry Council  
San Francisco State University  
San Joaquin County Human Services Agency  
San Joaquin County Office of Substance Abuse  
San Joaquin County Prevention Services  
San Joaquin Delta College  
San Joaquin General Hospital  
San Joaquin Rental Property Association  
Sierra Vista Homes Resident Council  
STAND  
State of California Office of Migrant Education  
State of California Office of Migrant Services  
Stockton Shelter for the Homeless  
Thornton Chamber of Commerce  
Tracy Homes Resident Council  
U.S. Department of Agriculture / Rural Development  
U.S. Department of Housing and Urban Development  
University of California, Davis  
University of the Pacific